
FACSIMILE TRANSMISSION

DATE: NOVEMBER 3, 2008**PAGE 1 OF 5****TO: REFUND BRANCH****FACSIMILE NO.: 571-273-6500****FROM: ROBERT R. MALLINCKRODT****TRANSMITTED BY: JUDY ANDERSON****OUR DOCKET NO.: 02207-25610.PCT.US (SERIAL No. 10/562,165)**

REMARKS:

The deposit account 20-0100 for Thorpe North and Western, LLP (customer no. 20,551) has been charged a large entity payment for an RCE mailed 08/02/2008 for the above-referenced matter. This client is small entity and we respectfully request a refund of \$405.00.

Thank you for your assistance, if you need further information, please contact us. Enclosed please find a copy of the Deposit Account Statement, Transmittal and check which was sent originally to pay for small entity filing.

The pages that follow may contain sensitive, privileged or confidential information intended solely for the addressee named above. If you receive this message and are not the agent or employee of the addressee, and have therefore been sent or received this communication in error, you are asked not to disseminate or copy any of the attached and are to notify the sender immediately by telephone. Please also return the original message to the sender by mail.



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 Sara Anderson Snow
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Robert R. Mallinckrodt
 Registered Patent Attorney
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November 3, 2008

Via Facsimile to: 571-273-6500
 Attn: Refund Branch

Mail Stop 16
 Director of the USPTO
 P. O. Box 1450
 Alexandria, VA 22313-1450

Re: Request for Refund
 Application No: 10/562,165
 Title **WORKING MEDIUM FOR CYCLIC STEAM PROCESSES**
 Our Reference: 02207-25610.PCT.US

Dear Sirs:

We respectfully request refund of an over-charge of fees charged to Deposit Account 20-0100 on September 3, 2008. A copy of the Statement of Deposit Account is enclosed.

The fee charged was \$810 for filing an RCE for other than small entity (Fee Code 1814), but should have been \$405.00 for a statutory disclaimer for a small entity (Fee Code 2814). A copy of the Fees paid for filing this application is enclosed which denotes the small entity status for this application.

Any questions may be directed to the undersigned.

Sincerely yours,

THORPE NORTH & WESTERN, LLP


 Robert R. Mallinckrodt

www.tnw.com

8180 South 700 East
 Suite 350
 Sandy, Utah 84070-0562
 t. 801.566.6633
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RM/ja
 Enclosure

U.S. Mailing Address:
 P.O. Box 1219
 Sandy, Utah 84091-1219

Deposit Account Statement



United States
Patent and
Trademark Office

Known To:
USPTO Home Page
Finance Online Shopping Page

Deposit Account Statement

Requested Statement Month:

September 2008

Deposit Account Number:

200100

Name:

THORPE NORTH & WESTERN

Attention:

ACCOUNTING

Street Address 1:

P O BOX 1219

Street Address 2:

SANDY

City:

UT

State:

84091-1219

Zip:

UNITED STATES

Country:

DATE SEQ	REF	POSTING ATTORNEY	DOCKET	FEE CODE	AMT	BAL
08/03 31	10562165	02207-26610.PCT.US	1801 filed	\$810.00	\$3,893.60	
09/12 2	11479124	02149-24581.NP	2201 filed	\$105.00	\$3,788.60	
09/12 3	11479124	02149-24561.NP	2202 filed	\$25.00	\$3,763.60	
09/22 2	10862829	23344.NP	2202 filed	\$150.00	\$3,613.60	
09/22 1	11440793	00802-24943	1201 filed	\$210.00	\$3,403.60	
09/23 1	11077995	00138-22088.CIP	2261 filed	\$60.00	\$3,343.60	

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$4,703.60	\$1,360.00	\$0.00	\$3,343.60

Ch # 25

Balanced

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PTO/SB/21 (08-04)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/662,185
Filing Date	December 23, 2005
First Named Inventor	Michael Hoelzer
Art Unit	
Examiner Name	
Attorney Docket Number	02207-22130

5

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input type="checkbox"/> Return Postcard <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Copy of Notification of Insufficient Fees (DO/EO/US) <input type="checkbox"/> \$865.00 check
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit(s)/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		Remarks Applicant claims small entity status.

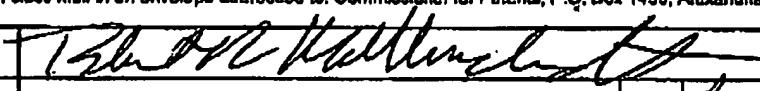
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Thorpe North & Western, LLP		
Signature			
Printed name	Robert R. Mallinckrodt		
Date	Aug. 23, 2006	Reg. No.	26,565

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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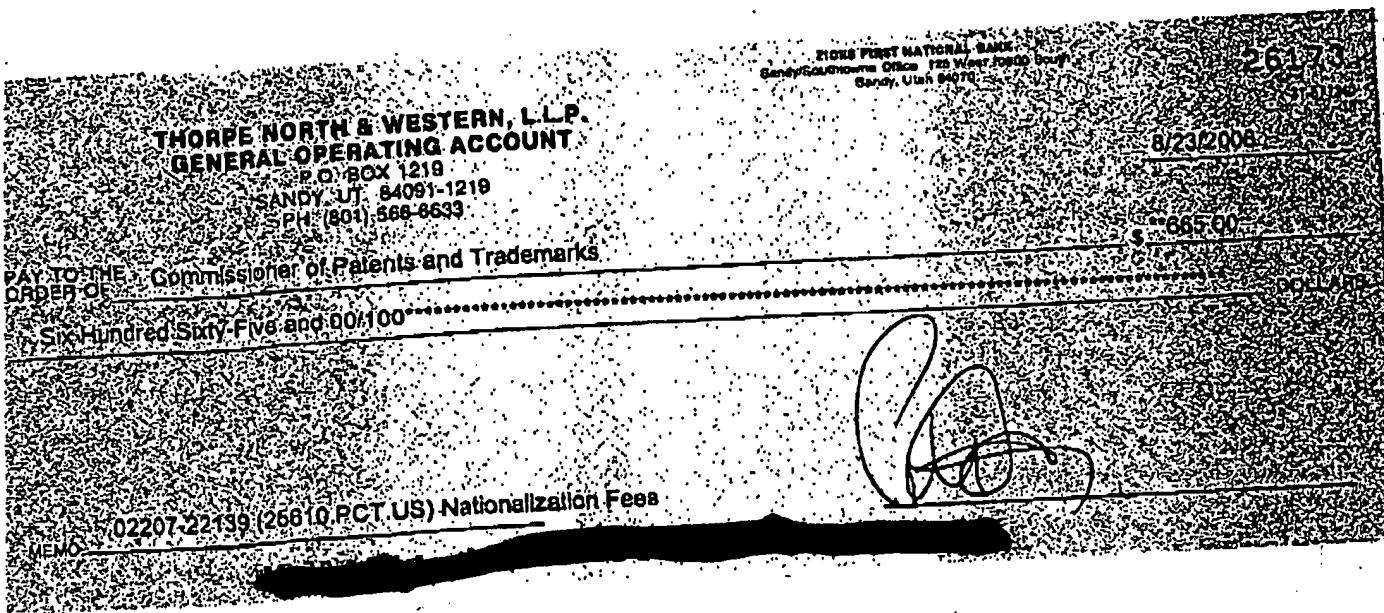
Robert R. Mallinckrodt

Date

Aug. 23, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 1.22 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IAP15 Rec'd PCT/PTO 28 AUG 2006

File No. 101562-165

Client Name Michael Bloecker

Serial No. 101562-165 Atty. R.P.M.

Date Mailed: 8-23-2006

Please acknowledge receipt of:

Patent Application

Drawings _____ sheets
 Informal Formal

Certificate of Mailing

Nonpublication Request

Check No. 26173 for \$665.00

Declaration and Petition

Power of Attorney

Express Mail No. _____

IDS, PTO 1449 & References 8-23-2006

Transmittal Letter

Assignment with Cover

Amendment

Response Copy of Notification of Disaffection

Extension of time petition Disaffection

Missing Parts Response & copy of Notice to File Missing Parts

Issue fee transmittal & advance order

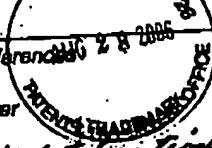
Maintenance fee transmittal

Request for PCT

Demand for PCT examination

PCT Fee

Other Revocation of PCT with new PCT & Change of Address per Statement Under 37 CFR 3.73(b)



PTO/SB/30 (04-07)

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**Request
for
Continued Examination (RCE)
Transmittal**

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/562,165
Filing Date	12/23/2005
First Named Inventor	Michael Hoetger
Art Unit	3748
Examiner Name	NGUYEN, HOANG M.
Attorney Docket Number	02207-25810.PCT.US

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SEP 02 2008

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
ii. Other _____

b. Enclosed

i. Amendment/Reply iii. Information Disclosure Statement (IDS)
ii. Affidavit(s)/ Declaration(s) iv. Other _____

2. **Miscellaneous**

a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(e) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)
b. Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 20-0100. I have enclosed a duplicate copy of this sheet.

i. RCE fee required under 37 CFR 1.17(e)
ii. Extension of time fee (37 CFR 1.136 and 1.17)
iii. Other _____

b. Check in the amount of \$ _____ enclosed

c. Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Adjustment date: 11/02/2008 HDESTA1
09/03/2008 HMRZ11 007204 200100
01 FC:101 810.00 CR

10562165

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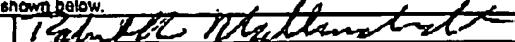
09/03/2008 HMRZ11 00000024 200100
01 FC:101 810.00 DA

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	Sept 2, 2008
Name (Print/Type)	Robert R. Mallinckrodt	Registration No.	26565

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Signature		Date	9/2/2008
Name (Print/Type)	Robert R. Mallinckrodt	Registration No.	26565

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PAGE 2/9 * RCVD AT 9/2/2008 7:51:26 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-616 * DNI:2738300 * CSID:801 566 6673 * DURATION (mm:ss):03:16